



## PIAWAI BRUNEI DARUSSALAM

**CONFORMITY ASSESSMENT - REQUIREMENT FOR BODIES  
PROVIDING AUDIT AND CERTIFICATION OF MANAGEMENT  
SYSTEMS  
- PART 3 : COMPETENCE REQUIRMENTS FOR AUDITING  
AND CERTIFICATION OF QUALITY MANAGEMENT SYSTEMS.  
(ISO 17021-3:2017, IDT)**

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the National Standards Centre in 2023.



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## **DEVELOPMENT OF PIAWAI BRUNEI DARUSSALAM**

The Piawai Brunei Darussalam has been endorsed by the National Standards Council and are subject to periodical review according to the current needs of the local industries and to keep abreast of progress in the industries concerned. Suggestion of amendments will be recorded and in due course brought to the notice of the committees concerned.

Any changes made hereafter are documented through the issue of either amendments or revisions.

This PBD ISO 17021-3:2017 was published in 2023 as a direct adoption , with no modification from ISO 17021-3:2017

Attention is drawn to the fact that this Piawai Brunei Darussalam does not confer any immunity from legal obligations in any contract for compliance to the Standard.

### **Amendments issued since publication**

<b>Amd No</b>	<b>Date of issue</b>	<b>Text affected</b>

## **NATIONAL FORWARD**

The National Standards Council was formed in 2009, acts as the body responsible for strengthening and monitoring standards and conformance in Brunei Darussalam. Its members encompass multiple agencies across the Government, industry, academia and consumer interests and are envisaged to provide policy direction that will firm up national initiatives to create and stimulate sustainable economic growth. In this endeavor, factors such as the creation and promotion of awareness on consumer safety and interests will also form part of the main scope for the council.

The work of the council is facilitated by the National Standards Centre (NSC), under the Ministry of Finance and Economy. With the main role of strengthening the capacity and sustainability of the national standards infrastructure, the centre has been instructed to act as the body that provides a platform to complement the formation of the Council.

On matters pertaining to the development of national standards i.e. Piawai Brunei Darussalam (PBD), the management of activities are monitored through the formation of National Standards Committees. Clustered based on the scope of its industry, the work of developing PBD standards is guided by international practice with the involvement of multiple agencies across the Government, industry and public as a whole.

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## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of conformity assessment, ISO and IEC develop joint ISO/IEC documents under the management of the ISO Committee on Conformity assessment (ISO/CASCO).

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: [www.iso.org/iso/foreword.html](http://www.iso.org/iso/foreword.html).

This document was prepared by Technical Committee ISO/TC 176, *Quality management systems*, Subcommittee SC 3, *Supporting technologies*, and the ISO Committee on conformity assessment (CASCO). It was circulated for voting to the national bodies of both ISO and IEC, and was approved by both organizations.

This first edition of ISO/IEC 17021-3 cancels and replaces ISO/IEC/TS 17021-3:2013, which has been technically revised.

The following major changes have been made compared with ISO/IEC/TS 17021-3:2013:

- addition of new requirements of ISO 9001:2015, which require additional competence to audit;
- expansion of fundamental concepts and quality management principles and their application;
- inclusion of the knowledge of the role of leadership of an organization in relation to its quality management system;
- inclusion of knowledge of application of risk based thinking, including the determination of risks and opportunities;
- inclusion of competence criteria for the auditor to understand the context of the organization.

A list of all parts in the ISO/IEC 17021 series can be found on the ISO website.

## Introduction

This document complements ISO/IEC 17021-1. In particular, it clarifies the requirements for the competence of personnel involved in the certification process set out in ISO/IEC 17021-1:2015, Clause 7 and Annex A.

Certification bodies have a responsibility to interested parties, including their clients and the customers of the organizations whose management systems are certified, to ensure that only those auditors who demonstrate the relevant competence are allowed to conduct quality management system (QMS) audits.

It is intended that all personnel involved in certification functions possess the generic competence described in ISO/IEC 17021-1, as well as the specific QMS knowledge described in this document.

Certification bodies will need to identify the specific audit team competence needed for the scope of each QMS audit. The selection of a QMS audit team will depend upon various factors, including the client's technical area and specific processes.

In this document, the following verbal forms are used:

- “shall” indicates a requirement;
- “should” indicates a recommendation;
- “may” indicates a permission;
- “can” indicates a possibility or a capability.

Further details can be found in the ISO/IEC Directives, Part 2.

# Conformity assessment — Requirements for bodies providing audit and certification of management systems —

## Part 3:

# Competence requirements for auditing and certification of quality management systems

## 1 Scope

This document specifies additional competence requirements for personnel involved in the audit and certification process for quality management systems (QMS) and complements the existing requirements of ISO/IEC 17021-1.

NOTE This document is applicable for auditing and certification of a QMS based on ISO 9001. It can also be used for other QMS applications.

## 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 9000, *Quality management systems — Fundamentals and vocabulary*

ISO/IEC 17021-1:2015, *Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 1: Requirements*

## 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 17021-1 and ISO 9000 apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <http://www.iso.org/obp>
- IEC Electropedia: available at <http://www.electropedia.org/>

## 4 Generic competence requirements

The certification body shall define the competence requirements for each certification function as referenced in ISO/IEC 17021-1:2015, Table A.1. When defining these competence requirements, the certification body shall take into account all the requirements specified in ISO/IEC 17021-1, as well as those specified in [Clauses 5](#) and [6](#) of this document that are relevant for the QMS technical areas (see ISO/IEC 17021-1:2015, 7.1.2), as defined by the certification body.

NOTE [Annex A](#) provides a summary of the knowledge required for QMS auditing and certification.